# DSP Toolkit update

**For NHS Trusts, Arm's Length Bodies, Commissioning Support Units and Integrated Care Boards.**

**June 2023**

Presented by:
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# DSP Toolkit for 22-23

# 22-23 Toolkit

**37000 organisations published so far**

**Deadline is 30th June 2023**

**Assertions and checkboxes are unticked**

**Responses from 22-23 transferred where evidence item unchanged**

**Evidence item numbers have been Reordered and gaps removed**

**Minor changes overall**

# DSPT Audit

**For Trusts, ICBs, CSUs and ALBs**

# Audit – Not required for Category 3/4 organisations

**Updated functionality**

**Available now**

**Additional information required to be recorded in the DSPT**

**Auditors to upload details**

**If your audit is not final on 30th June, upload a draft report and then update after the deadline**

**New publication not required**

**You can provide audit instead of the auditor but**

**information will be considered 'unverified' and may require additional validation.**

# Enhancements from increased maturity & lessons learnt / out of scope for original MVP

- Prevent user from responding to 9.4.5 making it entirely populated from the new functionality
- Make audits more independent
- Gather some more information
  - audit provider
  - status (draft or final)
  - findings
  - date of audit

- Live now.

# Audit – What you need to do

## Step 1 – Auditor access to DSPT

- You should give your appointed external auditor access to submit details of the independent assessment / audit information via Manage users on Admin Menu

## Step 2 – Let the Auditors know

- Speak to the Auditors once you have (they are expecting this 😊)

- Check if they need anyone else setting up

## Step 3 – Check the auditors have submitted

Via the Provide Audit Details Menu

You can see what information has been provided

# Improvement plans

**For Trusts, ICBs, CSUs**

# Improvement plans - Not required for Category 3 organisations

**News article live at:**
https://www.dsptoolkit.nhs.uk/News/improvement-plans

**Very similar to last year's process**

**Remedial action required for all mandatory evidence items not achieved**

**You will need to upload your plan via the DSPT**

**You can download a template now by pressing the Publish Assessment Button (It does not commit you to publish – honest ☺)**

**Regional security leads very happy to help with the plans, particularly on Cyber and technical requirements.**

# Regional Leads contact details

**Regional Security Leads**

| Victoria Axon | Midlands | Victoria.axon1@nhs.net |
| Ian Fletcher | South West | ian.fletcher7@nhs.net |
| Peter Hartley | London | peter.hartley2@nhs.net |
| Matthew Lutkin | North East | Matthew.lutkin@nhs.net |
| Daniel Oliver | South East | daniel.oliver@nhs.net |
| Steven Shaw | North West | steven.shaw2@nhs.net |
| Mark Dimock | East | mark.dimock1@nhs.net |

# Demonstration

# Where has the wording been tweaked (watch out for date changes)

# 3.2.1 Training requirement amendment coming...

| At least 95% of all staff, have completed their annual Data Security Awareness Training since 1st July 2022. | Please provide your highest percentage figure for the period 1st July 2022 - 30th June 2023 in the space below with an explanation of how you have calculated the figure.<br><br>This can be calculated from local materials/E Learning system and/or the national Data Security Awareness E-Learning system. If using local training it must cover the areas included in https://portal.e-lfh.org.uk/Component/Details/544182<br><br>All staff, which includes new starters, locums, temporary, students and staff contracted to work in the organisation have completed their annual Data Security Awareness Training (including passing a mandatory test). |
|---|---|

To

| At least 95% of all staff, have completed their annual Data Security Awareness Training in the last twelve months. | Please provide your percentage figure for the **last twelve months prior to the date of publication**, in the space below with an explanation of how you have calculated the figure.<br><br>This can be calculated from local materials/E Learning system and/or the national Data Security Awareness E-Learning system. If using local training it must cover the areas included in https://portal.e-lfh.org.uk/Component/Details/544182<br><br>All staff, which includes new starters, locums, temporary, students and staff contracted to work in the organisation have completed their annual Data Security Awareness Training (including passing a mandatory test). |
|---|---|

# Examples of last twelve months before publication

Publication Date = 30.06.2023

Training included is:

01.07.2022 – 30.06.23

Publication date = 28.06.2023

Training included is:

29.06.2022 – 10.06.2023

Publication date = 01.04.2023

Training included is:

02.04.2022 – 01.04.2023

Publication date = 01.10.2022

Training included is:

02.10.2021 – 01.10.2022

# Example Answer for 3.2.1 Training based on 1st June 2023 publication date

96.1% of staff have completed Data Security Awareness training in the last twelve months.

This was calculated as below:

Number of staff (including locums, temporary, students and staff contracted to work in the organisation) in the organisation = 10,000.

Number of staff completing data security awareness training since 2nd June 2022 = 9,610

Broken down into:

Number of staff completing national Data Security Awareness training = 8,140

Number of staff completing face to face IG session with test = 1,500

Number of staff completing data security awareness training with other organisations since 2nd June 2022 = 21

Number of staff figure is taken from email exchange with HR/Payroll team from 20/08/2021 which is available in the 22-23 DSPT Evidence – 3.2.1 Folder on SharePoint.

Number of staff completing face to face IG session with test, is taken from the registers of the session which are available in the 22-23 DSPT Evidence – 3.2.1 Folder on SharePoint.

Number of staff completing data security awareness training with other organisations since 2nd June 2022 is taken from the certificates/emails of the session which are available in the 21-22 DSPT Evidence – 3.2.1 Folder on SharePoint.

# Integrated Care Boards

# ICBs

The ICB will be responsible for submitting a Data Security and Protection Toolkit (DSPT) for 22-23.

If your ICB is not set up contact the Exeter Helpdesk

Places are not required to complete a separate DSP Toolkit watch out for ODS Code changes in Old CCGs

ICBs are required to complete a DSP Toolkit Audit and complete a baseline

Scope of ICB Toolkit is the legal entity of the Integrated Care board not the patch.

# Responses

It is expected that some ICB responses may be made up of a CCG level responses so you can start work now.

For example you may in place ROPAs at a CCG level now and pull them together in a summary paper to an ICB group once established.

The key is to ensure there is a plan to harmonise the work towards an integrated ICB response.

ICBs if your address is incorrect complete an OC1 form at: https://digital.nhs.uk/services/organisation-data-service/new-code-requests

# What to do

**1**

Login and familiarise yourself

Review the evidence items and tooltips

**2**

Update your answers

Prioritise mandatory questions to achieve Standards Met

**3**

Confirm the assertions

Update Organisation Profile Questions

**4**

Publish when you are ready

Deadline is 30th June 2023

But you don't need to wait so long

# DSPT Future changes

# DSP Toolkit for 23-24 - Proposed

# DSP Toolkit 23-24 Proposal

**Spreadsheet to be published early July 2023**

**Fully Incorporated in DSPT August 2023 with guidance.**

**Minor Changes overall**

**Biggest change will be to the Update Data Security Awareness requirement**

**Update Tooltips based on helpdesk and stakeholder feedback**

**Additional requirements for key IT Suppliers**

# Proposal

- Starting point: CAF outcomes for **training** and **culture**

- Evidentiary requirements for 2023/24 written to fit current DSPT structure and presentation

| Training and awareness needs analysis | Delivery | Evaluation |
| --- | --- | --- |

- 'Category 1' organisations

- Audit, learn and adapt towards wider CAF adoption in 2024/25

# Bigger Changes for 24-25

# Moving the Cyber elements of the DSPT to CAF

## Update Cyber requirements to CAF

- In 2024 the DSPT is changing, we plan to replace elements of the existing content with the Cyber Assessment Framework (CAF).

- For the 24-25 DSP Toolkit for CAT1 organisations

- CAT3 and 4 Organisation will not see a significant difference.

## What does this mean?

- One of the key changes to the CAF is that it is an Outcomes based framework giving organisations more flexibility (with some must-do requirements) to decide how they deliver an outcome rather than the current, more prescriptive approach of the DSP Toolkit.

## What about the non cyber requirements?

- We are also exploring whether this approach could be extended to the non-Cyber elements of the DSPT.

# Further information about the CAF

Background on CAF
https://www.ncsc.gov.uk/collection/caf

Cyber Associates Network
https://future.nhs.uk/cybersecurity/view?objectId=39717328

Discussion board
https://future.nhs.uk/cybersecurity/view?objectID=1170778

# Help and Support

Webinar with Cyber Associates Network on deep dive into Logging, Connected Medical Devices and Penetration Testing Thursday 27th April. See Can for Details.
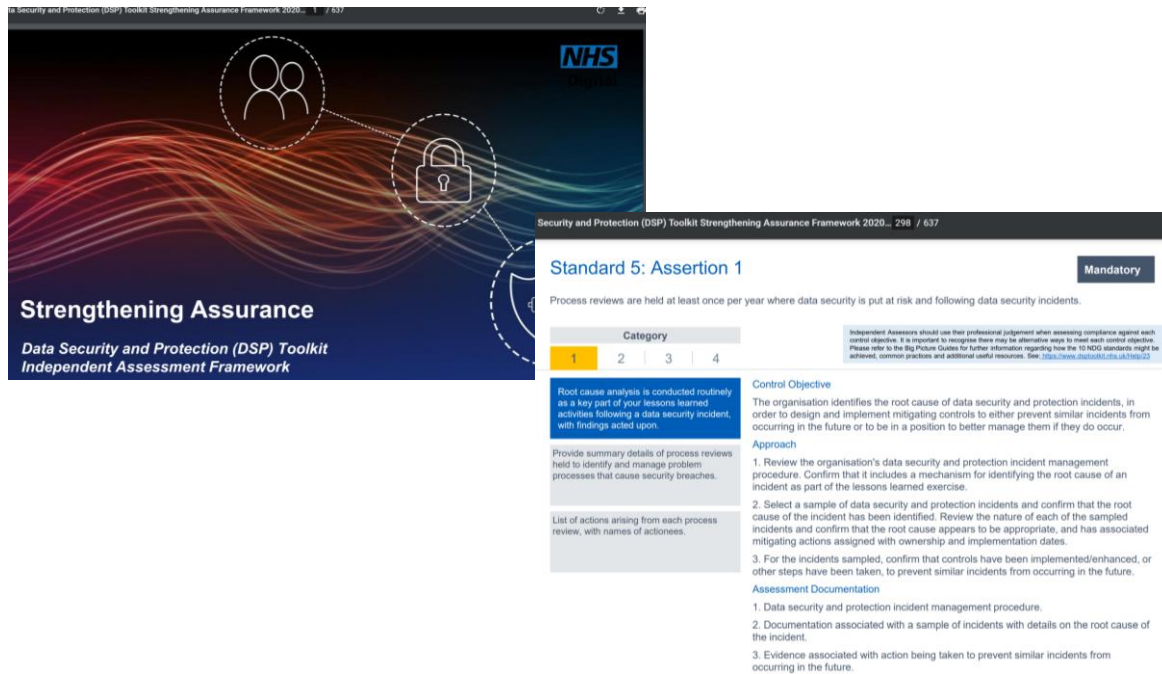
# Resources to help

*Happy to support regional meetings on briefings. Upcoming CAN Conference and helpdesk exeter.helpdesk@nhs.net.*
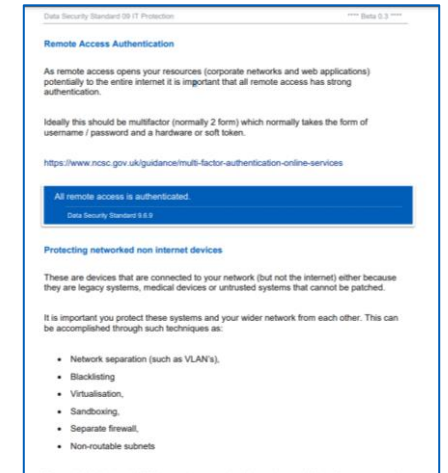
**Audit Guides**

**https://www.dsptoolkit.nhs.uk/Help/Independent-Assessment-Guides**

Useful as set out the requirement in a ISO 27001 style with control objective and documentation.



**Big Picture Guides**

**https://www.dsptoolkit.nhs.uk/Help/big-picture-guides**

Give background to the requirement and talk more broadly about the subject area with links to wider reading

# Thank You

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